# Ankle Bipolar Fresh Osteochondral Allograft Survivorship and Integration: Transplanted Tissue Genetic Typing and Phenotypic Characteristics

Simona Neri, PhD, Francesca Vannini, MD, Giovanna Desando, BSc, Brunella Grigolo, PhD, Alberto Ruffilli, MD, Roberto Buda, MD, Andrea Facchini, MD, and Sandro Giannini, MD

Investigation performed at the Istituto Ortopedico Rizzoli, Bologna, Italy

**Background:** Fresh osteochondral allografts represent a treatment option for early ankle posttraumatic arthritis. Transplanted cartilage survivorship, integration, and colonization by recipient cells have not been fully investigated. The aim of this study was to evaluate the ability of recipient cells to colonize the allograft cartilage and to assess allograft cell phenotype.

**Methods:** Seventeen ankle allograft samples were studied. Retrieved allograft cartilage DNA from fifteen cases was compared with recipient and donor constitutional DNA by genotyping. In addition, gene expression was evaluated on six allograft cartilage samples by means of real-time reverse transcription-polymerase chain reaction. Histology and immunohistochemistry were performed to support molecular observations.

**Results:** Of fifteen genotyped allografts, ten completely matched to the host, three matched to the donor, and two showed a mixed profile. Gene expression analysis showed that grafted cartilage expressed cartilage-specific markers.

**Conclusions:** The rare persistence of donor cells and the prevailing presence of host DNA in retrieved ankle allografts suggest the ingrowth of recipient cells into the allograft cartilage, presumably migrating from the subchondral bone, in accordance with morphological findings. The expression of chondrogenic markers in some of the samples argues for the acquisition of a chondrocyte-like phenotype by these cells.

**Clinical Relevance:** To our knowledge, this is the first report describing the colonization of ankle allograft cartilage by host cells showing the acquisition of a chondrocyte-like phenotype.

S evere posttraumatic ankle arthritis is a debilitating condition posing a reconstructive challenge for young active patients in whom implants and arthrodesis are not desirable. Fresh osteochondral allograft transplantation has been established as an option to replace the damaged area in ankle osteochondral defects, with surgical indications expanded to include total joint substitutions and reconstructive solutions<sup>1,2</sup>. The rationale for fresh osteochondral allograft is to obtain osseous healing with the host bone incorporating and replacing the donor bone while maintaining the hyaline cartilage architecture<sup>3-5</sup>. Long-term follow-up studies have demonstrated high rates of clinical success<sup>6-8</sup>.

Despite the widespread use of fresh osteochondral allograft, little is known about allograft survivorship and integration following transplantation. Several studies have supported long-term in vivo survival of allograft cells, frequently with a high viability rate, but without determination of allograft cell origin<sup>3-5,9,10</sup>. This issue has been addressed by few studies, mostly case reports of knee allograft retrievals, in which it is generally believed that host cells cannot colonize the graft, except for the formation of host fibrocartilage<sup>10</sup>. Knee allograft osteochondral plugs after three years contained only viable donor cells<sup>11</sup> and a mixed cell population composed of both donor and recipient cells in a femoral condyle fresh

**Disclosure:** One or more of the authors received payments or services, either directly or indirectly (i.e., via his or her institution), from a third party in support of an aspect of this work. None of the authors, or their institution(s), have had any financial relationship, in the thirty-six months prior to submission of this work, with any entity in the biomedical arena that could be perceived to influence or have the potential to influence what is written in this work. Also, no author has had any other relationships, or has engaged in any other activities, that could be perceived to influence or have the potential to online version of the article.

ANKLE BIPOLAR FRESH OSTEOCHONDRAL ALLOGRAFT SURVIVORSHIP AND INTEGRATION

osteochondral allograft was demonstrated after twenty-nine years<sup>12</sup>.

Conversely, meniscal, tendon, and ligament allografts show a progressive replacement of donor cells by host ones. In the goat, patellar tendon and anterior cruciate ligament fresh allografts quickly lose donor cells, replaced in a few weeks by host cells<sup>13</sup>. Similar findings were obtained in human meniscal fresh allografts after three months<sup>14</sup>.

In this study, we analyzed ankle bipolar fresh osteochondral allografts, in which host articular cartilage was totally replaced during surgery, therefore ensuring that grafted cartilage only belongs to the donor. The main goal of this work was to explore the potential of recipient cells to integrate into allograft cartilage and to identify the cell population mostly involved. The secondary aim was to investigate the influence of allograft repopulation on clinical outcome over time. To this end, after eighteen months, cartilage graft retrievals were genotyped and several markers were evaluated in a subgroup of the same samples. Allograft cartilage colonization by recipient cells with the synthesis of specific cartilage markers by allograft cells was observed, suggesting their differentiation toward a chondrocyte-like phenotype.

# **Materials and Methods**

#### **Case Series**

 $S^{eventeen patients (thirteen men and four women) with a mean age (and standard deviation) of 35 \pm 8.2 years (range, eighteen to forty-five years),$ affected by posttraumatic Grade-3 ankle arthritis<sup>15</sup>, underwent bipolar fresh osteochondral allograft (seven right ankles and ten left ankles). The mean time (and standard deviation) between diagnosis and surgery was 13  $\pm$  7 months. Inclusion criteria were a patient age of fifty-five years or less and unilateral end-stage ankle arthritis. Exclusion criteria were ankle anatomy disruption, osteopenia, osteonecrosis, rheumatoid arthritis, infections, and vascular and neurologic diseases<sup>16</sup>. The donors, identified through the bone bank program for tissue donation after families' donor consent, were eight males and four females, five of which donated both ankles and who had a mean age (and standard deviation) of  $31 \pm 8.8$  years (range, nineteen to forty-four years). Donor tibia and talus size were measured with use of computed tomography (CT) scans and appropriate candidates were selected on the basis of ankle size. No tissue or blood-type matching was performed<sup>17</sup>. Donor ankle harvesting, performed within six hours from asystole, involved the excision of the entire joint with the intact capsule and synovial membrane, which was placed in 1000mL Dulbecco modified Eagle medium (DMEM) with L-glutamine, NaHCO<sub>3</sub>, and antibiotics, and then was stored at 4°C. To maintain chondrocyte viability, transplantation was performed within fifteen days at a mean time (and standard deviation) of 14.3  $\pm$  1.6 days<sup>3,18</sup>. In all cases, the fresh osteochondral allograft quality before implant was excellent, showing intact articular surfaces (see Appendix) and viable cells according to the International Cartilage Repair Society (ICRS) cell viability subscore (see Appendix).

All patients gave informed consent and the study was approved by the institutional ethics committee. Patients were evaluated preoperatively, at eighteen months, and at the time of the latest follow-up at a mean time (and standard deviation) of 46  $\pm$  9.6 months by means of radiographs and the American Orthopaedic Foot & Ankle Society (AOFAS) score<sup>19</sup>.

# Surgical Technique

Surgical treatment consisted of graft preparation and surgery in the recipient. The recovered ankle had all soft tissues and fibula removed. The medial malleolus internal surface was prepared with a probe-jig and a standard pneumatic saw. To obtain a correct fitting between the graft and the recipient, the same jig was used on the medial side of both the graft (during its preparation) and the recipient. With use of this jig, we obtained the appropriate match between the graft and the prepared osseous tibial surface of the recipient. With use of two Kirschner wires as a guide, 1-cm-thick osteochondral surfaces were obtained. The patient, under general or spinal anesthesia, was placed in a supine position with a tourniquet on the proximal thigh. An anterior incision was used and the internal surface of the medial malleolus was prepared with a probe-jig and a standard pneumatic saw. Furthermore, under fluoroscopic control, two Kirschner wires were positioned to help the articular surface cut, performed with a standard pneumatic saw removing both damaged surfaces. Allograft surfaces were positioned in the host ankle and were fixed with twist-off screws (see Appendix). Postoperative radiographs were made.

## Sample Collection

Patient peripheral blood and donor residual osteochondral tissue were recovered at surgery. Biopsies of the grafted areas of fifteen cases were obtained during implant removal at a mean time (and standard deviation) of  $19 \pm 6$  months by harvesting osteochondral cylinders perpendicularly from the anterior region of the talus, using an 8-gauge-diameter corer, in a weightbearing area. The biopsies of two cases (Cases 7 and 10) were obtained at revision surgery for failure at a mean time (and standard deviation) of  $24 \pm 6$  months, and all of the available cartilage tissue was recovered. Cartilage samples were used for genotyping and mRNA expression analyses, and osteochondral samples were used for histology and immunohistochemistry. Because of the limited tissue amount, it was not possible to perform all analyses in all specimens.

# Genomic DNA Isolation

Recipient constitutional DNA was obtained from patient peripheral blood, and donor constitutional DNA was obtained from residual donor osteochondral tissue. Allograft DNA was obtained from cylindrical biopsies washed in phosphate buffered saline (PBS) after removal of any subchondral bone by a scalpel. Frozen tissue samples were pulverized with the grinding mill Mikro-Dismembrator S (Sartorius, Firenze, Italy). Total DNA was extracted with the QIAamp DNA Mini Kit (Qiagen, Hilden, Germany).

# Allograft Genotyping

To assess if allograft cartilage contained recipient or donor cells, genotyping by microsatellite analysis (see Appendix) was performed on total DNA from allograft cartilage samples compared with recipient and donor DNA. Allele patterns of fifteen cases were obtained by polymerase chain reaction (PCR) of CD4, von Willebrand factor type A (VWA), feline sarcoma (FES) oncogene, thyroid peroxidase (TPOX), and p53 short tandem repeats, as described<sup>20</sup>. PCR products were electrophoresed and were stained with SYBR Green dye (Roche, Indianapolis, Indiana). Gel images were acquired with Kodak Image Station 4000MM (Kodak, Rochester, New York). When donor material was absent, retrieved allograft matching was attributed by calculating the likelihood ratio<sup>21</sup> (see Appendix).

# Allograft Gene Expression Analysis

Six retrieved allograft cartilage samples (30 to 80 mg) obtained from cylindrical biopsies after bone removal were analyzed (samples 3, 8, 10, 15, 16, and 17) and were compared with a series of controls (C) including healthy cartilage (C1 and C2), freshly isolated chondrocytes from healthy cartilage (C3), cultured chondrocytes at the culture passage P1 (C4) and P10 (C5), cultured synovial fibroblasts at the culture passage P3 (C6), freshly isolated osteoblasts (C7), and bone marrow-derived mesenchymal stem cells (C8). Chondrocyte, synovial fibroblast, osteoblast, and bone marrow-derived mesenchymal stem cell isolation and culture were as described<sup>22-24</sup>.

Total RNA was extracted with RNA Pure reagent (EuroClone, Milan, Italy) from pulverized cartilage samples and pelletted cells (C3 to C8). After reverse transcription by random priming with use of the SuperScript-VILO cDNA Synthesis Kit (Invitrogen, Carlsbad, California), expression of specific

ANKLE BIPOLAR FRESH OSTEOCHONDRAL ALLOGRAFT SURVIVORSHIP AND INTEGRATION

## TABLE I Summary of Allograft Colonization, Histological Appearance, and Clinical Outcome for the Seventeen Analyzed Cases\*

|       | Demographic Characteristics |      |       |      |            |                        |           |       | ٨              | OEAS Soorat (points          | )                          |
|-------|-----------------------------|------|-------|------|------------|------------------------|-----------|-------|----------------|------------------------------|----------------------------|
|       | Recipient                   |      | Donor |      |            | ICRS I Score† (points) |           |       |                |                              |                            |
| Cases | Age                         | Sex§ | Age   | Sex§ | Genotyping | Recipient              | Allograft | Donor | Preoperatively | At the 18-Month<br>Follow-up | At the Latest<br>Follow-up |
| 1     | 24                          | М    | 19    | М    | A = R      | 6                      | 8         | 17    | 22             | 90                           | 68                         |
| 2     | 31                          | М    | 42    | М    | A = R      | _                      | _         | _     | 31             | 74                           | 82                         |
| 3     | 43                          | F    | 26    | F    | A = R      | 6                      | 8         | _     | 30             | 65                           | 79                         |
| 4     | 39                          | М    | 24    | F    | A = R      | _                      | 9         | _     | 33             | 90                           | 92                         |
| 5     | 24                          | М    | 19    | F    | A = R      | 6                      | 11        | 16.5  | 18             | 90                           | 90                         |
| 6     | 40                          | М    | 29    | М    | A = R      | 5                      | 14        | 16.5  | 36             | 69                           | 72                         |
| 7     | 32                          | М    | 40    | М    | A = R      | _                      | 9         | _     | 22             | 58                           | Failure                    |
| 8     | 45                          | F    | 33    | F    | A = R      | 3                      | 9         | 16    | 36             | 71                           | 75                         |
| 9     | 43                          | М    | 40    | М    | A = R      | _                      | 14        | _     | 22             | 69                           | 48                         |
| 10    | 34                          | М    | 40    | М    | A = R      | _                      | 5         | 16    | 17             | 70                           | Failure                    |
| 11    | 36                          | М    | 44    | М    | A = D      | _                      | 15        | _     | 38             | 71                           | 76                         |
| 12    | 37                          | М    | 21    | М    | A = D      | 8                      | 8         | 15    | 22             | 65                           | 80                         |
| 13    | 38                          | М    | 19    | F    | A = D      | 5                      | 14        | 16.5  | 38             | 71                           | 74                         |
| 14    | 44                          | М    | 40    | М    | A = mixed  | _                      | 15        | 16    | 23             | 74                           | 77                         |
| 15    | 38                          | М    | 29    | М    | A = mixed  | 6                      | 16        | 16.5  | 28             | 74                           | 85                         |
| 16    | 17                          | F    | 33    | F    | _          | 6                      | 8         | 16    | 22             | 64                           | 45                         |
| 17    | 24                          | М    | 29    | М    | _          | _                      | 7         | _     | 28             | 86                           | 90                         |
|       |                             |      |       |      |            |                        |           |       |                |                              |                            |

\*Allograft colonization was evaluated by genotyping comparing retrieved allograft (A) allele patterns to recipient (R) and donor (D) allele patterns. †Histological appearance was evaluated by the ICRS I score on recipient and donor osteocartilaginous tissues at time of surgery and on retrieved allograft at time of implant removal (eighteen months) or at revision for failure (Cases 7 and 10). ‡Clinical outcome was evaluated by the AOFAS score preoperatively, at the eighteen-month follow-up, and at the time of the latest follow-up (forty-six months). §M = male and F = female.

markers was evaluated by real-time PCR (see Appendix) in a LightCycler Instrument (Roche, Mannheim, Germany) with SYBR Premix Ex-Taq (Takara, Osaka, Japan). The amplification protocol was 95°C at ten seconds and fortyfive cycles (95°C at five seconds and 60°C at twenty seconds). Cycle threshold ( $C_t$ ) values were determined for each sample and mRNA levels were quantified compared with the glyceraldehyde-3-phosphate dehydrogenase (GAPDH) gene following the formula  $(1 + E)^{\Delta C_t}$ , where E is the reaction efficiency (approximated to 1 because for each transcript E was >90%) and  $\Delta C_t$  is the difference between the GAPDH and the specific  $C_t$ .

## Histology and Immunohistochemistry

Recipient, retrieved allograft, and donor specimens were fixed in 10% buffered formalin, were washed and were decalcified in 4% hydrochloric acid and 5% formic acid at room temperature, were dehydrated in alcohol, and were embedded in paraffin. Serial sections were stained with hematoxylin and eosin and 0.1% Safranin-O/0.02% Fast Green (Sigma-Aldrich, Seelze, Germany). ICRS I score<sup>25</sup> was applied to quantitatively evaluate cartilage repair.

Collagen type-II, CD73, CD90, matrix metallopeptidase 13 (MMP-13), caspase-3, and tartrate-resistant acid phosphatase (TRAP) markers were evaluated by immunohistochemistry. Specific unmasking was carried out depending on the antigen<sup>17,26</sup>. Samples were incubated with monoclonal antibodies against human collagen type II (2.5  $\mu$ g/mL, Chemicon, Temecula, California), CD73 (5  $\mu$ g/mL, Serotec, Oxford, United Kingdom), CD90 (5  $\mu$ g/mL, Serotec), MMP-13 (5  $\mu$ g/mL, R&D Systems, Minneapolis, Minnesota), caspase-3 (5  $\mu$ g/mL, R&D Systems), and TRAP (5  $\mu$ g/mL, Novocastra, Newcastle, United Kingdom). Sections were then treated with biotinylated secondary antibodies and Alkaline Phosphatase-Labeled Streptavidin (BioGenex, Fremont, California). Reactions were developed with use of Fast Red substrate (BioGenex). Slides were counterstained with hematoxylin

(Sigma-Aldrich). Positive and negative controls were run together with test samples. Images were captured with an Eclipse 90i microscope (Nikon, Melville, New York) and were examined by two independent observers. Semiquantitative analysis evaluated the percentage of positive cells (CD73, CD90, MMP-13, caspase-3) or positive area (collagen type II) and the number of multinucleated cells on whole sections.

# Statistical Analysis

Immunohistochemical quantification, AOFAS scores, and ICRS I scores were expressed as the mean and the standard deviation. Spearman rank correlation examined the relationship among ICRS I score, AOFAS score, and age. The Mann-Whitney test evaluated by exact methods for small samples was used to analyze the influence of donor or recipient sex on AOFAS and ICRS I scores. The Kruskal-Wallis test for multiple comparisons with the post hoc Dunn test was used for immunohistochemical data. The non-parametric Jonckheere-Terpstra test evaluated the relationship between scores and genotyping.

For all tests, p < 0.05 was considered significant.

Relative mRNA expression was expressed as the mean and the 95% confidence intervals calculated with the resampling bootstrap method for small samples.

The hierarchical cluster analysis of gene expression profiles was performed with use of cosine similarity as the matrix of distances (see Appendix).

## Source of Funding

This study was supported by Centro Nazionale Trapianti (project number 1532) and by grants from Ministero dell'Istruzione, dell'Università e della Ricera (Ricera Fondamentale Orientata (University of Bologna) and Fondi cinque per mille (Health Ministry, Italy). Funds were used to pay for supplies. The Journal of Bone & Joint Surgery • JBJS.org Volume 95-A • Number 20 • October 16, 2013 ANKLE BIPOLAR FRESH OSTEOCHONDRAL ALLOGRAFT SURVIVORSHIP AND INTEGRATION



## Fig. 1

Gel photographs showing genotyping of three retrieved allograft representative cases (for overall results, see Appendix). Total DNA from retrieved allograft cartilage (A) was compared with recipient (R) and donor (D) constitutional DNA by PCR analysis at five short tandem repeat sequences (CD4, VWA, FES, TPOX, and P53). PCR products were run on acrylamide gels. Case 1: complete matching between allograft and recipient DNA (A = R): Case 13: complete matching between allograft and donor DNA (A = D); and Case 15: allograft partial matching with both recipient and donor DNA, indicating a mixed population of recipient and donor cells in the allograft (A = mixed). Allele length was estimated by a sideby-side comparison with allelic ladders made up from a mixture of known alleles and is expressed as the number of repeats<sup>27</sup> as indicated beside gel images.

# **Results**

#### Clinical Outcome

**N** o intraoperative complications were observed. Postoperatively, one infection occurred, requiring a surgical irrigation at nine months, but was completely healed at the twelve-month follow-up. Two failures (Cases 7 and 10) occurred: Case 7 at twenty months and Case 10 at twenty-eight months; the failure of Case 7 was due to fibular nonunion and allograft collapse, but no clear reason for the failure of Case 10 was evident. The AOFAS score improved from  $27.4 \pm 7.0$  preoperatively to  $73.6 \pm 9.7$  at eighteen months (seventeen cases; p < 0.05). At the time of the latest follow-up (46  $\pm$  9.6 months; fifteen cases), the AOFAS score was 75.5  $\pm$  13.7 points (p < 0.05). No relationship among the AOFAS score, patient or donor age, and sex was found.

# Allograft Genotyping

Allele patterns at five short tandem repeats<sup>27</sup> for the fifteen retrieved allograft cartilage samples (A) genotyped, compared with constitutional DNA allele patterns from recipient (R) and

donor (D) samples, are reported in the Appendix. In Figure 1, an example of each possible matching (A = R, A = D, A = mixed) is shown.

Ten retrieved allografts (Cases 1 to 10), including the two failures, showed an allograft-recipient matched profile (A = R), indicating the exclusive presence of recipient cells in allograft cartilage. In Cases 2 and 4, missing donor DNA, the identity between allograft and recipient was supported by the perfect matching at all short tandem repeats, with a likelihood ratio (hypothesis of identity versus no identity) of  $8.8 \times 10^5$  for Case 2 and  $3.9 \times 10^7$  for Case 4, which means that it is  $8.8 \times 10^5$  and  $3.9 \times 10^7$  more likely that DNA belongs to the recipient than to some unknown person from the population.

Only three cases (Cases 11, 12, and 13) showed an allograft-donor matched profile (A = D), indicating the exclusive presence of donor cells in allograft cartilage. Case 11 was typed even without donor material, because the non-matching of recipient and allograft DNA at all short tandem repeats excludes their identity, confirming allograft-donor matching.

## THE JOURNAL OF BONE & JOINT SURGERY • JBJS.ORG VOLUME 95-A • NUMBER 20 • OCTOBER 16, 2013

ANKLE BIPOLAR FRESH OSTEOCHONDRAL ALLOGRAFT SURVIVORSHIP AND INTEGRATION



#### Fig. 2

Macroscopic photographs and histological images showing analysis of representative recipient (R), retrieved allograft (A), and donor (D) samples (500 µm; 50-µm insets). The top row shows that, for the recipient, fissuring (black arrows) and eburnation (red arrow) processes were noticed in macroscopic analysis. Several histological aspects in cartilage tissue, extending from the presence of cell clusters (white arrows) (R1) to cartilage delamination and depletion of proteoglycans (R2), were noticed. The middle row shows that, for the retrieved allograft, a good cell distribution with tidemark duplication crossed by mononucleated (green arrow) (A1) and multinucleated (blue arrows) cells (A2) were observed. The bottom row shows that, for the donor, a glossy cartilage surface was noticed in donor biopsies by macroscopic analysis. A good cartilaginous tissue with high proteoglycan component was observed by histology. A bar graph showing the ICRS I scores for the analyzed samples, which are represented as the mean and the standard deviation (the minimum of 0 points indicated poor cartilage repair and the maximum of 18 points indicated very good repair).

Two cases (Cases 14 and 15) showed a mixed profile, with both donor and recipient DNA present in the allograft cartilage (A = mixed). In Case 14, the allograft showed a tri-allelic profile at CD4 and VWA loci, indicating the presence of more than one individual genome in the cartilage sample, namely, recipient and donor DNA; in Case 15, two short tandem repeats matched with recipient DNA (CD4-TPOX) and two (VWA-FES) matched with donor DNA, indicating once again the presence of both donor and recipient DNA.

No correlation was found between genotyping and patient or donor age or the AOFAS score.

# Allograft Cartilage Cell Characterization

Allograft cartilage RNA from six samples (Cases 3, 8, 10, 15, 16, and 17) and eight controls (C1 to C8) was analyzed for the expression of cartilage and bone markers. C1, C2, and C3 were chosen to compare allograft cells with differentiated chondrocytes, and C4 and C5 were chosen to compare allograft cells with

dedifferentiated chondrocytes, as the monolayer culture induces a loss of chondrocyte phenotype<sup>28,29</sup>. C6, C7, and C8 were also used for comparison.

Results are expressed as RNA copy number/100,000 GAPDH copies. Transcript levels were extremely heterogeneous among samples, suggesting a different allograft behavior in the different cases (see Appendix). In particular, collagen type-II expression was detected in four samples (Cases 8, 15, 16, and 17), two of which (Cases 15 and 16) showed high mRNA levels, comparable with differentiated chondrocytes (C1 to C3). None of the other controls expressed detectable levels of collagen type II. Collagen type-IX expression was similar to C3, while aggrecan and sexdetermining region Y-box 9 (SOX9) appeared expressed, even if at lower levels compared with cartilage controls. Allograft samples strongly expressed collagen type I, suggesting some level of fibrosis; they expressed cathepsin B as cartilage controls and MMP-13, indicating some tissue remodeling. The Journal of Bone & Joint Surgery • JBJS.org Volume 95-A • Number 20 • October 16, 2013 ANKLE BIPOLAR FRESH OSTEOCHONDRAL ALLOGRAFT SURVIVORSHIP AND INTEGRATION



#### Fig. 3

Box plot representation of retrieved allograft ICRS I scores related to genotyping (A = R, A = D, or A = mixed). The black horizontal lines represent the medians and the box plots represent the 25th to 75th percentile range of the data. The minimum and maximum values are also indicated. A positive trend was observed with use of the Jonckheere-Terpstra test (p = 0.024), with the samples with a mixed genotype showing the highest score (corresponding to the best cartilage repair).

Osteoblast markers were expressed with some variability as in controls.

A hierarchical cluster analysis on the six samples combined with the controls was performed (the thirteen analyzed genes being the clustering parameters). Three main clusters (A, B, C) were evidenced according to how closely correlated samples and controls were (see Appendix). In cluster A, four (samples 3, 8, 16, and 17) of six allografts are grouped with mesenchymal stem cells (C8), while the remaining allografts (Cases 10 and 15) fall into cluster C, together with differentiated chondrocytes (C1 to C3). The results of cluster analysis agree with the migration of host precursor cells from the



## Fig. 4

Photographic panel showing immunohistochemical analyses for collagen type-II, CD73, MMP-13, and caspase-3 markers from representative recipient (R), retrieved allograft (A), and donor (D) specimens (500  $\mu$ m; 50- $\mu$ m insets). Black arrows in the CD73 and MMP-13 indicate positive cells within the cartilaginous extracellular matrix.

# 1857

The Journal of Bone & Joint Surgery · JBJS.org Volume 95-A · Number 20 · October 16, 2013 ANKLE BIPOLAR FRESH OSTEOCHONDRAL ALLOGRAFT SURVIVORSHIP AND INTEGRATION



Fig. 5

TRAP immunohistochemical analysis of a representative retrieved allograft specimen. A positive staining was observed in the multinucleated osteoclast cells (black arrows) from subchondral bone (B), which are invading cartilage tissue (CA). The box identifies the area magnified (500  $\mu$ m; 50- $\mu$ m insets) on the right, the area located between cartilage (CA) and bone (B) compartments, containing two multinucleated cells positive to TRAP.

subchondral bone and their partial or progressive differentiation in chondrocyte-like cells. In cluster B, dedifferentiated chondrocytes (C4 and C5), osteoblasts (C7), and synovial fibroblasts (C6) are grouped.

# Histology and Immunohistochemistry

Recipient samples displayed macroscopically several fibrillation areas with fissures and eburnations. Histology showed several levels of severity, from a cartilaginous matrix with abundant cell clusters to a complete matrix loss, with a low ICRS I score of  $6 \pm 1.3$  points (Fig. 2 and Appendix).

Different degrees of tissue repair were observed in retrieved allograft biopsies after eighteen months: macroscopically, a cartilage surface with fibrillation areas was reported, while histology showed cartilage tissue with good cellular viability and arrangement, moderate proteoglycan content, and various noncontinuous areas in the tidemark crossed by mononucleated and/or multinucleated cells from subchondral bone in all of the specimens, reporting a score of  $11 \pm 3$  points, with an area infiltrated by multinucleated cells of  $15\% \pm 7\%$  (Fig. 2). The two failures (Cases 7 and 10) showed different degenerative aspects including loss of cartilage matrix, cell apoptosis, low proteoglycan content, and altered cartilage mineralization, recording a low score of  $8.5 \pm 0.5$  points (data not shown). The retrieved allograft ICRS I score was higher in cases with the mixed matched genotype (15.5  $\pm$  0.7 points) compared with those with the donor-matched genotype  $(12.3 \pm 3.8 \text{ points})$  and the recipientmatched genotype  $(9.7 \pm 2.9 \text{ points})$  (p = 0.024) (Fig. 3).

Donor specimens showed a glossy cartilage surface. Histology showed a well-organized cartilaginous tissue with a smooth surface, good proteoglycan content, and regular cellular arrangement (Fig. 2). Cell viability, as assessed by the ICRS I viability subscore, displayed the maximum value (see Appendix). A positive correlation was found between the donor ICRS I score ( $15 \pm 5.2$  points) and the eighteen-month AOFAS score ( $73.6 \pm 9.7$  points), suggesting that donor cartilage quality influences the outcome (rho = 0.636, p = 0.048).

In Table I, genotyping data, donor and recipient age and sex, and ICRS I and AOFAS scores are summarized.

High extracellular collagen type-II expression was noticed in retrieved allograft (90% ± 4%) and donor (91% ± 3%) specimens compared with recipients (30% ± 5%) (p < 0.001 for both comparisons). A cellular positivity for the CD73 marker was detected in all specimens (35% ± 2%). Similar findings were observed for CD90 (data not shown). A moderate cellular positivity for MMP-13, involved in cartilage destruction, was detected in recipients (60% ± 3%) with respect to retrieved allograft (25% ± 3%; p < 0.01) and donor (15% ± 4%; p < 0.001) specimens (retrieved allograft versus donor: p < 0.05). Apoptosisrelated caspase-3 cellular expression was different in recipient (63% ± 4%), retrieved allograft (17% ± 3%), and donor (5% ± 2%) samples (p < 0.01 for all comparisons) (Fig. 4).

TRAP staining on retrieved allograft samples showed a marked positivity (98%  $\pm$  2%) only in multinucleated cells invading the cartilage matrix near the tidemark (Fig. 5).

# Discussion

**F** resh osteochondral allograft is a biological option for ankle osteochondral defect treatment, but it is a technically demanding procedure with reported complications and failures<sup>30,31</sup>. Fresh osteochondral allograft biology and integration are therefore critical topics to better understand allograft behavior. In particular, chondrocyte survivorship seems a key factor in allograft maintenance<sup>3-5,9,10,32</sup>. Another important question poorly investigated<sup>11-14</sup> is whether original donor chondrocytes survive or are replaced by host cells.

We analyzed seventeen ankle bipolar fresh osteochondral allografts after eighteen months, describing the origin of allograft cells and their phenotype. Out of fifteen allografts, ten completely matched to the host, three matched to the donor, and two showed a mixed profile. The prevalent host matching indicates that ankle fresh osteochondral allografts frequently lose donor cells, partially replaced in a short time by host cells. This last finding would suggest a role of the graft as a scaffold for recipient cell colonization, raising the question whether viability at the time of transplantation is really necessary. Data on large frozen allografts suggest an incomplete integration, even if no data were provided for grafts similar to those described here. We believe that donor cell viability has an important role both because all viable cells can contribute to allograft matrix maintenance and because host cells can initially colonize only the deeper cartilage zone. In agreement with this, allografts with a mixed genotype showed the best ICRS I score (Fig. 3). However, genotype was not correlated with the AOFAS score; therefore, genotyping at eighteen months does not have a predictive value on clinical outcome. Clinical outcome was good to excellent in fifteen of seventeen patients as indicated by the AOFAS scores. Histological-immunohistochemical evaluations gave evidence of a well-organized cartilage tissue with high collagen

The Journal of Bone & Joint Surgery • JBJS.org Volume 95-A • Number 20 • October 16, 2013 ANKLE BIPOLAR FRESH OSTEOCHONDRAL ALLOGRAFT SURVIVORSHIP AND INTEGRATION

type-II content and various processes of tissue remodeling, as indicated by MMP-13 and TRAP expression, in accordance with matrix remodeling required for recipient cell invasion of the graft.

Some allograft samples expressed collagen type-II mRNA as normal cartilage. This seems particularly relevant as this marker is peculiar to differentiated chondrocytes<sup>28,29</sup>. We genotyped only two collagen type-II positive samples, because of the low amount of cartilage tissue, finding recipient DNA in one specimen and a mixed donor and recipient population in the other. As the surgical procedure implies a total replacement of host cartilage by donor cartilage, in the sample matching with host DNA, collagen type-II expression may only arise from host cells migrated to the allograft cartilage that probably acquired a partial chondrocyte-like phenotype. Notably, all collagen type-II positive allografts had a good clinical outcome.

Cluster analysis, further characterizing allograft cell phenotype, indicated that allograft samples show expression profiles similar to mesenchymal stem cells or to chondrocytes, supporting allograft colonization by precursor cells from subchondral bone, as also validated by the presence of multinucleated TRAP-positive osteoclasts at the cartilage-bone boundary in all of the specimens, creating interruptions in the tidemark that allow cell migration toward the cartilage (Fig. 5). No tissue remodeling was observed at the superficial cartilage layer; thus, it would seem unlikely that recipient colonizing cells can come from synovium, which have been described as a possible source of mesenchymal stem cells<sup>33,34</sup>. Cells colonizing the allograft are probably stem cells, in accordance with allograft positivity for mesenchymal markers (Fig. 4).

Moreover, the observation that some allografts share the same cluster with chondrocytes confirms that invading cells, once embedded in a cartilage matrix, start to express chondrocytespecific genes. This tissue remodeling is probably progressive and first limited to the deeper cartilage zone, in accordance with the finding of some samples retaining donor DNA profile or a mixed profile. The acquisition of a chondrocyte-like phenotype probably occurs only in some cells and at a different level in the different samples, as indicated by the heterogeneous expression profiles observed. Accordingly, the possibility that invading recipient mesenchymal stem cells can form fibrocartilage should not be excluded.

Further studies at longer follow-up could also clarify if samples retaining donor cells would progressively acquire a hostmatched genotype, as observed in meniscal allografts<sup>14</sup>. This would explain how a non-host-matched genotype does not imply the worst clinical outcome. Actually, the time of remodeling is presumably variable among individuals. The two failures cannot be attributed to allograft repopulation as they showed the same host-matched genotype as the majority of the samples. In conclusion, in our case series, ankle fresh osteochondral allografts appear to behave more similarly to meniscal, ligament, and tendon allografts, where donor cells are rapidly replaced by host cells<sup>13,14,35</sup>, than to knee fresh osteochondral allografts<sup>11,12</sup>, where host cells seem unable to colonize donor cartilage.

To our knowledge, this is the first study on the genetic characterization of ankle bipolar fresh osteochondral allografts highlighting allograft cartilage colonization by recipient cells through progressive remodeling at the cartilage-bone border, where mesenchymal stem cells integrate within the proximal part of the cartilage and start to acquire a chondrocyte-like phenotype.

# Appendix

Figures showing the surgical field of representative cases and a dendrogram obtained by hierarchical clustering of six allograft samples and eight defined control cell types, tables showing the summary of primer sequences and Amplicon size for real-time reverse transcription PCR (RT-PCR) analysis, allograft genotyping, relative mRNA expression of different markers in cartilage allograft samples and in controls, and ICRS-I subscores for the seventeen analyzed cases, and text explaining the surgical technique, genotyping, likelihood ratio, and cluster analysis used in this study are available with the online version of this article as a data supplement at jbjs.org.

Simona Neri, PhD Francesca Vannini, MD Giovanna Desando, BSc Brunella Grigolo, PhD Alberto Ruffilli, MD Roberto Buda, MD Andrea Facchini, MD Sandro Giannini, MD SC Laboratorio di Immunoreumatologia e Rigenerazione Tissutale/Laboratorio RAMSES (S.N., G.D., B.G., A.F.), SC Clinica Ortopedica Traumatologica I (F.V., A.R., R.B., S.G.), Istituto Ortopedico Rizzoli, Via di Barbiano 1/10, 40136 Bologna, Italy. E-mail address for S. Neri: simona.neri@ior.it. E-mail address for F. Vannini: francesca.vannini@ior.it. E-mail address for G. Desando: giovanna.desando@ior.it. E-mail address for B. Grigolo: brunella.grigolo@ior.it. E-mail address for A. Ruffilli: aruffilli@tiscali.it. E-mail address for R. Buda: roberto.buda@ior.it. E-mail address for A. Facchini: andrea.facchini@unibo.it. E-mail address for S. Giannini: sandro.giannini@ior.it

## References

**3.** Czitrom AA, Keating S, Gross AE. The viability of articular cartilage in fresh osteochondral allografts after clinical transplantation. J Bone Joint Surg Am. 1990 Apr;72(4):574-81.

**4.** McGoveran BM, Pritzker KP, Shasha N, Price J, Gross AE. Long-term chondrocyte viability in a fresh osteochondral allograft. J Knee Surg. 2002 Spring;15(2): 97-100.

**<sup>1.</sup>** Giannini S, Buda R, Faldini C, Vannini F, Romagnoli M, Grandi G, Bevoni R. The treatment of severe posttraumatic arthritis of the ankle joint. J Bone Joint Surg Am. 2007 Oct;89(Suppl 3):15-28.

<sup>2.</sup> Kim CW, Jamali A, Tontz W Jr, Convery FR, Brage ME, Bugbee W. Treatment of post-traumatic ankle arthrosis with bipolar tibiotalar osteochondral shell allografts. Foot Ankle Int. 2002 Dec;23(12):1091-102.

THE JOURNAL OF BONE & JOINT SURGERY · JBJS.ORG VOLUME 95-A · NUMBER 20 · OCTOBER 16, 2013

5. Williams SK, Amiel D, Ball ST, Allen RT, Tontz WL Jr, Emmerson BC, Badlani NM, Emery SC, Haghighi P, Bugbee WD. Analysis of cartilage tissue on a cellular level in fresh osteochondral allograft retrievals. Am J Sports Med. 2007 Dec;35(12):2022-32. Epub 2007 Aug 27.

**6.** Gross AE, Kim W, Las Heras F, Backstein D, Safir O, Pritzker KP. Fresh osteochondral allografts for posttraumatic knee defects: long-term followup. Clin Orthop Relat Res. 2008 Aug;466(8):1863-70. Epub 2008 May 9.

**7.** El-Rashidy H, Villacis D, Omar I, Kelikian AS. Fresh osteochondral allograft for the treatment of cartilage defects of the talus: a retrospective review. J Bone Joint Surg Am. 2011 Sep 7;93(17):1634-40.

8. Fox EJ, Hau MA, Gebhardt MC, Hornicek FJ, Tomford WW, Mankin HJ. Long-term followup of proximal femoral allografts. Clin Orthop Relat Res. 2002 Apr;(397):106-13.

**9.** Convery FR, Akeson WH, Amiel D, Meyers MH, Monosov A. Long-term survival of chondrocytes in an osteochondral articular cartilage allograft. A case report. J Bone Joint Surg Am. 1996 Jul;78(7):1082-8.

**10.** Maury AC, Safir O, Heras FL, Pritzker KP, Gross AE. Twenty-five-year chondrocyte viability in fresh osteochondral allograft. A case report. J Bone Joint Surg Am. 2007 Jan;89(1):159-65.

**11.** Haudenschild DR, Hong E, Hatcher S, Jamali AA. Chondrogenic potential and homogeneity of cell populations of donor and recipient cells in a fresh osteochondral allograft: a case report. J Bone Joint Surg Am. 2012 Feb 1;94(3):e17.

**12.** Jamali AA, Hatcher SL, You Z. Donor cell survival in a fresh osteochondral allograft at twenty-nine years. A case report. J Bone Joint Surg Am. 2007 Jan;89(1):166-9.

**13.** Jackson DW, Simon TM. Donor cell survival and repopulation after intraarticular transplantation of tendon and ligament allografts. Microsc Res Tech. 2002 Jul 1; 58(1):25-33.

**14.** Verdonk P, Almqvist KF, Lootens T, Van Hoofstat D, Van Den Eeckhout E, Verbruggen G, Verdonk R. DNA fingerprint of fresh viable meniscal allografts transplanted in the human knee [Abstract]. Osteoarthritis Cartilage. 2002;10(Suppl. 1): S43-4.

**15.** van Dijk CN, Verhagen RA, Tol JL. Arthroscopy for problems after ankle fracture. J Bone Joint Surg Br. 1997 Mar;79(2):280-4.

**16.** Oakeshott RD, Farine I, Pritzker KP, Langer F, Gross AE. A clinical and histologic analysis of failed fresh osteochondral allografts. Clin Orthop Relat Res. **1988** Aug; (233):283-94.

**17.** Giannini S, Buda R, Grigolo B, Bevoni R, Di Caprio F, Ruffilli A, Cavallo M, Desando G, Vannini F. Bipolar fresh osteochondral allograft of the ankle. Foot Ankle Int. 2010 Jan;31(1):38-46.

**18.** Williams SK, Amiel D, Ball ST, Allen RT, Wong VW, Chen AC, Sah RL, Bugbee WD. Prolonged storage effects on the articular cartilage of fresh human osteochondral allografts. J Bone Joint Surg Am. 2003 Nov;85(11):2111-20.

**19.** Kitaoka HB, Alexander IJ, Adelaar RS, Nunley JA, Myerson MS, Sanders M. Clinical rating systems for the ankle-hindfoot, midfoot, hallux, and lesser toes. Foot Ankle Int. 1994 Jul;15(7):349-53.

**20.** Neri S, Cattini L, Facchini A, Pawelec G, Mariani E. Microsatellite instability in in vitro ageing of T lymphocyte clones. Exp Gerontol. 2004 Apr;39(4):499-505.

ANKLE BIPOLAR FRESH OSTEOCHONDRAL ALLOGRAFT SURVIVORSHIP AND INTEGRATION

Aitken CGG, Taroni F. Statistics and the evaluation of evidence for forensic scientists (statistics in practice). 2nd ed. New York: John Wiley & Sons; 2004.
Neri S, Mariani E, Cattini L, Facchini A. Long-term in vitro expansion of osteo-arthritic human articular chondrocytes do not alter genetic stability: a microsatellite instability analysis. J Cell Physiol. 2011 Oct;226(10):2579-85.

**23.** Honorati MC, Neri S, Cattini L, Facchini A. Interleukin-17, a regulator of angiogenic factor release by synovial fibroblasts. Osteoarthritis Cartilage. 2006 Apr; 14(4):345-52. Epub 2005 Nov 28.

**24.** Manferdini C, Gabusi E, Grassi F, Piacentini A, Cattini L, Zini N, Filardo G, Facchini A, Lisignoli G. Evidence of specific characteristics and osteogenic potentiality in bone cells from tibia. J Cell Physiol. 2011 Oct;226(10):2675-82.

25. Mainil-Varlet P, Aigner T, Brittberg M, Bullough P, Hollander A, Hunziker E, Kandel R, Nehrer S, Pritzker K, Roberts S, Stauffer E; International Cartilage Repair Society. Histological assessment of cartilage repair: a report by the Histology Endpoint Committee of the International Cartilage Repair Society (ICRS). J Bone Joint Surg Am. 2003;85(Suppl 2):45-57.

**26.** Grassi F, Cristino S, Toneguzzi S, Piacentini A, Facchini A, Lisignoli G. CXCL12 chemokine up-regulates bone resorption and MMP-9 release by human osteoclasts: CXCL12 levels are increased in synovial and bone tissue of rheumatoid arthritis patients. J Cell Physiol. 2004 May;199(2):244-51.

**27.** Neri S, Gardini A, Facchini A, Olivieri F, Franceschi C, Ravaglia G, Mariani E. Mismatch repair system and aging: microsatellite instability in peripheral blood cells from differently aged participants. J Gerontol A Biol Sci Med Sci. 2005 Mar;60(3): 285-92.

**28.** von der Mark K, Gauss V, von der Mark H, Müller P. Relationship between cell shape and type of collagen synthesised as chondrocytes lose their cartilage phenotype in culture. Nature. 1977 Jun 9;267(5611):531-2.

29. Schnabel M, Marlovits S, Eckhoff G, Fichtel I, Gotzen L, Vécsei V, Schlegel J. Dedifferentiation-associated changes in morphology and gene expression in primary human articular chondrocytes in cell culture. Osteoarthritis Cartilage. 2002 Jan; 10(1):62-70.

**30.** Meehan R, McFarlin S, Bugbee W, Brage M. Fresh ankle osteochondral allograft transplantation for tibiotalar joint arthritis. Foot Ankle Int. 2005 Oct;26(10):793-802.

**31.** Jeng CL, Kadakia A, White KL, Myerson MS. Fresh osteochondral total ankle allograft transplantation for the treatment of ankle arthritis. Foot Ankle Int. 2008 Jun;29(6):554-60.

**32.** Jeng CL, Myerson MS. Allograft total ankle replacement—a dead ringer to the natural joint. Foot Ankle Clin. 2008 Sep;13(3):539-47: x.

**33.** Hunziker EB, Rosenberg LC. Repair of partial-thickness defects in articular cartilage: cell recruitment from the synovial membrane. J Bone Joint Surg Am. 1996 May;78(5):721-33.

**34.** Zhang W, Chen J, Tao J, Jiang Y, Hu C, Huang L, Ji J, Ouyang HW. The use of type 1 collagen scaffold containing stromal cell-derived factor-1 to create a matrix environment conducive to partial-thickness cartilage defects repair. Biomaterials. 2013 Jan;34(3):713-23. Epub 2012 Oct 26.

**35.** Debeer P, Decorte R, Delvaux S, Bellemans J. DNA analysis of a transplanted cryopreserved meniscal allograft. Arthroscopy. 2000 Jan-Feb;16(1):71-5.